

# **County of Oswego Industrial Development Agency**

**44 W. Bridge St.  
Oswego, NY 13126  
(315) 343-1545**

## **Application for Financial Assistance**

**2020**

# Application for Financial Assistance

This Application is required for Bond Financing and/or Straight Lease Transactions. Please answer all questions either by filling in blanks or by attachment. Please file application in duplicate. Information provided herein will not be made public by the Agency prior to the passage of an Official Action Resolution, but may be subject to disclosure under the New York Freedom of Information Act. The entity completing this application shall be referred to herein as either the "Company" or the "Applicant".

## A. COMPANY INFORMATION

1. **Company Legal Name:** \_\_\_\_\_  
**Address:** \_\_\_\_\_  
**City, State, Zip:** \_\_\_\_\_  
**Telephone:** \_\_\_\_\_ **Fax:** \_\_\_\_\_  
**Contact Person:** \_\_\_\_\_ **Title:** \_\_\_\_\_  
**E-mail Address:** \_\_\_\_\_  
**Website Address:** \_\_\_\_\_
2. **Employer I.D. Number:** \_\_\_\_\_  
**DUNS Number:** \_\_\_\_\_
3. **Legal Counsel:** \_\_\_\_\_  
**Address:** \_\_\_\_\_  
**Telephone:** \_\_\_\_\_ **Fax:** \_\_\_\_\_  
**E-Mail Address:** \_\_\_\_\_
4. **Accountant:** \_\_\_\_\_  
**Address:** \_\_\_\_\_  
**Telephone:** \_\_\_\_\_ **Fax:** \_\_\_\_\_  
**E-Mail Address:** \_\_\_\_\_
5. **Business Form:**

Private Corporation:	<input type="text"/>	Year Incorporated:	<input type="text"/>	State:	<input type="text"/>
Public Corporation:	<input type="text"/>	Year Incorporated:	<input type="text"/>	State:	<input type="text"/>
Partnership:	<input type="text"/>	Year Formed:	<input type="text"/>	State:	<input type="text"/>
Sole Proprietorship:	<input type="text"/>	Year Established:	<input type="text"/>	State:	<input type="text"/>
LLC:	<input type="text"/>	Year Formed:	<input type="text"/>	State:	<input type="text"/>
LLP:	<input type="text"/>	Year Formed:	<input type="text"/>	State:	<input type="text"/>
6. **Type of Business:** (Describe products produced, services provided, etc.)  
\_\_\_\_\_  
\_\_\_\_\_

**N.A.I.C.S. Code:** \_\_\_\_\_

**7. Principal Stockholders or partners, if any (owners of 20% or more equity in Company):**

<b>Name</b>	<b>Percent Owned</b>
_____	_____
_____	_____
_____	_____
_____	_____

**8. If any of the above persons or a group of them owns more than a 50% interest in the Company, list all other organizations which are related to the Company by virtue of such persons having more than a 50% interest in such organizations:**

**9. Is the Company related to any other organization by reason of more than 50% common ownership? If so, indicate name of related organization and relationship.**

**10. List parent corporation, sister corporations and subsidiaries, if any.**

**11. Has the Company (or any related corporation or person) been involved in or benefitted by any prior economic development financing in the municipality in which this Project is located whether by this Agency or another issuer (“municipality” herein means city, town or village, or, if the Project is not in any incorporated city/town/village, to the unincorporated areas of the county in which it is located). If so, explain in full.**

**12. Has the Company (or any related corporation or person) made a public offering or private placement of its stock within the last year? If so, please provide Offering Statement used.**

## **B. PROJECT DESCRIPTION**

### **1. Project Site (Land)**

(a) Indicate approximate size (*in acres or square feet*) of Project Site:

(b) Are there buildings now on the Project site?

Yes \_\_\_\_\_ No \_\_\_\_\_

(c) Indicate the present use of the Project site:

(d) Indicate the relationship to present user of Project:

### **2. Does the Project involve acquisition of an existing building or building?**

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, indicate number and size of building(s):

### **3. Does the Project consist of the construction of a new building or buildings?**

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, indicate number and size of building(s):

### **4. Does the Project consist of additions and/or renovations to existing buildings?**

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, indicate nature of expansion and/or renovation:

### **5. What will the building or buildings to be acquired, constructed or expanded be used for by the Company? *(Please provide a brief narrative description of the project including why the Company is undertaking the project and why the Agency is requesting financial assistance from the Agency. In addition, please include a description of products to be manufactured, assembled or processed and services to be rendered, as applicable.)***

**5a. Indicate the type of Project (check all that apply):**

- |  |  |
|--|--|
| <input type="checkbox"/> Services                  | <input type="checkbox"/> Finance/insurance/real estate                                     |
| <input type="checkbox"/> Construction              | <input type="checkbox"/> Warehouse/Distribution  |
| <input type="checkbox"/> Agriculture/forestry/fish | <input type="checkbox"/> Residential/Mixed-Use   |
| <input type="checkbox"/> Wholesale/trade           | <input type="checkbox"/> Retail/trade  |
| <input type="checkbox"/> Manufacturing             | <input type="checkbox"/> Transportation/communication/electric/gas and sanitation services |
| <input type="checkbox"/> Other: _____              |  |

**5b. Will the Project contain retail facilities? ☐ Yes ☐ No**

**If Yes, will the cost of the retail facilities exceed one-third of the total project costs?**

☐ Yes ☐ No

**5c. Is the Project located in a distressed Census Tract? ☐ Yes ☐ No**

**5d. Is the Project site designated as an Empire Zone? ☐ Yes ☐ No**

**6. If any space in the Project is to be leased to third parties, indicate total square footage of the Project, amount to be leased to each tenant, and proposed use by each tenant and estimated jobs to be created by each tenant.**

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**7. List principal items or categories of equipment to be acquired as part of the Project:**

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**8. Has construction work on this Project begun: Yes\_\_\_\_\_ No\_\_\_\_\_**

**If yes, complete the following:**

- |                            |          |         |                  |
|----------------------------|----------|---------|------------------|
| (a) Site clearance         | Yes_____ | No_____ | _____ % Complete |
| (b) Foundation             | Yes_____ | No_____ | _____ % Complete |
| (c) Footings               | Yes_____ | No_____ | _____ % Complete |
| (d) Steel                  | Yes_____ | No_____ | _____ % Complete |
| (e) Masonry work           | Yes_____ | No_____ | _____ % Complete |
| (f) Other (describe below) | Yes_____ | No_____ | _____ % Complete |

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**9. Describe (Pollution Abatement Project Only, if applicable):**

**(a) Type of pollution to be abated:**

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**(b) Existing orders of environmental agencies:**

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**(c) Description of method of abatement and construction to be financed:**

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(d) Major equipment to be acquired:

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**10. Location of Project:**

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(a) Are there other plants or facilities of the Company (or a related company or person) within New York State?

Yes \_\_\_\_\_

No \_\_\_\_\_

(b) If there are other plants or facilities within New York State, is it expected that any of these other plants or facilities will close or be subject to reduced activity?

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, explain in detail on a separate sheet of paper.

(c) If the answer to 10(b) is Yes, please indicate whether the Project is reasonably necessary for the Company to maintain its competitive position in its industry. If so, please explain in detail on a separate sheet of paper.

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(d) Has the Company thought about moving to another state?

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, explain in detail.

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(e) Will the Project meet zoning requirements at proposed location?

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**11. Attach copies of preliminary plans or sketches of proposed construction or rehabilitation or both.**

**12. Does Company or any related corporation or person have a lease on the Project site?**

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, attach a copy of the lease.

**13. Does the Company now own the Project site?**

Yes \_\_\_\_\_

No \_\_\_\_\_

If yes, indicate the following:

(a) Date of purchase: \_\_\_\_\_

(b) Purchase price: \_\_\_\_\_

(c) Balance of existing mortgage: \_\_\_\_\_

(d) Holder of mortgage: \_\_\_\_\_

14. If the Company is not now the Owner of the Project site, does the Company or any related person or corporation have an option to purchase the site and any buildings on the site?

Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, indicate the following:

- (a) Date signed: \_\_\_\_\_  
(b) Purchase price: \_\_\_\_\_  
(c) Settlement date: \_\_\_\_\_

15. Has the Company or any related person or corporation entered into a contract to purchase the site?

Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, indicate the following:

- (a) Date signed: \_\_\_\_\_  
(b) Purchase price: \_\_\_\_\_  
(c) Settlement date: \_\_\_\_\_

16. If the Company is not the owner of Project site, does the Company now lease the site or any building on the site?

Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, describe the lease terms:

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17. Is there a relationship legally or by virtue of common control or ownership between the Company (and/or its shareholders) and the seller of the Project (and/or its shareholders)?

Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, describe this relationship:

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**C. MEASURE OF ECONOMIC DEVELOPMENT BENEFITS OF PROPOSED PROJECT**

1. If Company presently operates in Agency's jurisdiction (Oswego County, NY), give current employment (include contract employees).

Full Time Employees \_\_\_\_\_ Part-Time Employees \_\_\_\_\_

Please complete the Projected Employment Plan (Appendix A)

2. Estimate total Company employment in Agency's jurisdiction after completion of the Project (include contract employees):

	Employees First Year	Employees Second Year	Employees Third Year	Employees Fourth Year	Employees Fifth Year
Full Time	_____	_____	_____	_____	_____
Part-Time	_____	_____	_____	_____	_____

3. Annual payroll in Agency's jurisdiction (including contract employees):

Present annual payroll: \$ \_\_\_\_\_

Expected annual payroll the first year after completion of the Project: \$ \_\_\_\_\_

Second year after completion: \$ \_\_\_\_\_

Third Year after completion: \$ \_\_\_\_\_

Fourth Year after completion: \$ \_\_\_\_\_

Fifth Year after completion: \$ \_\_\_\_\_

4. What, if any, is the dollar amount of your current annual sales for the Project located in Oswego County? \$ \_\_\_\_\_

What will be your projected sales after the first year of Project completion? \$ \_\_\_\_\_

after the second year of Project completion? \$ \_\_\_\_\_

after the third year of Project completion? \$ \_\_\_\_\_

after the fourth year of Project completion? \$ \_\_\_\_\_

after the fifth year of Project completion? \$ \_\_\_\_\_

#### **D. PROJECT COSTS/REQUESTED BENEFITS**

1. Give an accurate estimate of cost of all items:

<u>Description</u>	<u>Amount</u>
Land/Building Acquisition	\$ _____
New Construction	\$ _____
Building Renovations	\$ _____
Site Work	\$ _____
Legal Fees	\$ _____
Engineering Fees	\$ _____



Financing Costs	\$ _____
Machinery & Equipment	\$ _____
Furniture and Fixtures	\$ _____
Working Capital	\$ _____
Recording Fees	\$ _____
Other (Specify):	\$ _____
<b>TOTAL</b>	<b>\$ _____</b>

2. Have any of the above costs been paid or incurred (including contracts of sale or purchase orders) as of the date of this application?

☐ Yes ☐ No

If yes, give particulars on separate sheet. See PDF Page 15.

3. Amount of project costs to be financed with bonds (if any):

\$ \_\_\_\_\_ Term \_\_\_\_\_

4. Are costs of working capital, moving expenses, work in process, or stock in trade included in the proposed uses of the bond proceeds (if applicable)?

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5. Will any of the bond proceeds (if applicable) to be borrowed through the Agency be used to repay or refinance an existing mortgage or outstanding loan?

Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, explain:

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6. What portion, if any, of the cost of the Project is to be financed from funds of the Company other than from the proposed bond issue?

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7. Amount of capital the Company has invested in the Project to date:

\$ \_\_\_\_\_

Amount of capital the Company anticipates investing in the Project through completion: \$ \_\_\_\_\_

Percentage of the Project to be financed from public sector sources: \_\_\_\_\_

Percentage of Project to be financed from private sector sources: \_\_\_\_\_

## 8. Financial Assistance:

Is the Applicant expecting to be appointed as agent of the Agency for purposes of abating NYS and local Sales and Use Tax? Yes ☐ No ☐

### Financial Assistance Requested:

Check all that apply	Type of Exemption/Abatement Requested		Estimated Amount of Exemption/Abatement Requested
<input type="checkbox"/>	Real Property Tax Abatment (PILOT)		**(See below)
<input type="checkbox"/>	Mortgage Recording Tax Exemption (3/4 of 1% of amount mortgaged)	Mortgage Amount: \$ _____	Exemption Amount Requested: \$ _____
<input type="checkbox"/>	Sales and Use Tax Exemption (4% Local, 4% State)	Est. Project Costs subject to State and local Sales and Use Taxes: \$ _____	Exemption Amount Requested: \$ _____
<input type="checkbox"/>	Tax Exempt Bond Financing (Amount Requested)	\$ _____	
<input type="checkbox"/>	Taxable Bond Financing (Amount Requested)	\$ _____	

If you are seeking a Mortgage Recording Tax Exemption list the name of the lender(s):

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New York State regulations require the Agency recapture State benefits that exceed the amount listed in this application.

\*\*Is the applicant requesting a payment in lieu of taxes agreement? ☐ Yes ☐ No.

If Yes:

A. Upon acceptance of this application, the Agency staff will create a PILOT schedule and indicate the estimated amount of the total PILOT benefit based upon certain assumptions, including but not limited to, anticipated tax rates and a projected assessed value and attached such information hereto at Appendix "E". AT SUCH TIME, the applicant will be required to certify that it accepts the proposed PILOT schedule and requests such benefit to be granted by the Agency.

***[Complete Question 9 Only if the Proposed Financing Requires a Tax-Exempt  
Private Activity Bond Financing in Excess of \$1 Million]***

9. List capital expenditures with respect to other facilities of the Company or any related corporation or person, if the facilities are located in the same municipality:

	<u>Past 3 Years</u>	<u>Next 3 Years</u>	<u>Total</u>
Land	_____	_____	_____
Buildings	_____	_____	_____
Equipment	_____	_____	_____
Engineering	_____	_____	_____
Architecture	_____	_____	_____
Research and Development	_____	_____	_____
Interest during Construction	_____	_____	_____
Other (please explain)	_____	_____	_____
<b>Total</b>	_____	_____	_____

10. Has the Company made any arrangements for the marketing or the purchase of the bond or bonds (if applicable)?

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**E. PROJECT CONSTRUCTION SCHEDULE**

- What is the proposed date for commencement of construction or acquisition of the Project? \_\_\_\_\_
- Give an accurate estimate of the time schedule to complete the Project and when the first use of Project is expected to occur (use additional sheets if necessary).

3. At what time or times and in what amount or amounts is it estimated that funds will be required?

<u>Estimated Date (month/year)</u>	<u>Estimated Amount</u>
_____	_____
_____	_____

#### 4. CONSTRUCTION EMPLOYMENT

Number of construction jobs to be created: \_\_\_\_\_

**F. REPRESENTATIONS:** The Company certifies and affirms to the Agency as follows:

1. The Company is in substantial compliance with all applicable local, state and federal tax, worker protection and environmental laws, rules and regulations.
2. Is there a likelihood that the Company, but for the contemplated financial assistance from the Agency, would be unable to undertake the project? \_\_\_\_☐ Yes \_\_\_\_☐ No

If no, please explain why the Agency should undertake the project: \_\_\_\_\_

3. The Company understands and agrees that the submission of knowingly false or misleading statements or information in this Application, and any exhibits or schedules attached hereto, may lead to the immediate termination of any financial assistance and the reimbursement by the applicant of an amount equal to all or part of any tax exemptions claimed by reason of the Agency's involvement in the Project.
4. The Company understands that the Company must identify in writing to the Agency any information it deems proprietary and seeks to have redacted from public review in accordance with Article 6 of the Public Officers Law.
5. The Company confirms and hereby acknowledges that as of the date of this Application, the Company and the Project are in substantial compliance with all provisions of Article 18-A of the New York General Municipal Law, including, but not limited to, the provision of Section 859-a and Section 862(1) of the New York General Municipal Law.
6. The Company acknowledges and affirms that in accordance with Section 862(1) of the Act, projects which result in the removal of an industrial or manufacturing plant of the project occupant from one area of the State to another area of the State or the abandonment of one or more plants or facilities of the project occupant within the State are ineligible for financial assistance from the Agency unless otherwise approved by the Agency as reasonably necessary to preserve the competitive position of the project in its respective industry or to discourage the project occupant from removing such other plant or facility to a location outside the State.
7. The Company certifies that it has read all of the Agency's policies and agrees to comply with same, including but not limited to the Agency's Recapture Policy.

**G. ENVIRONMENTAL ASSESSMENT FORM**

1. **Please complete Part 1 – Project and Sponsor Information on the “Short Environmental Assessment Form” which is provided as Appendix B. You may be required to complete the “Long Form” in order to comply with the New York State Environmental Review Act (SEQRA).**

**H. ATTACH THE FOLLOWING FINANCIAL INFORMATION OF THE COMPANY (APPLICANT):**

- 1. Financial statements for last two fiscal years (unless included in Company's Annual Reports).**
- 2. Company's Annual Reports (or Form 10-K's) for the two most recent fiscal years.**
- 3. Quarterly reports (Form 10-Q's) and current reports (Form 8-K's) since the most recent Annual Report, if any).**
- 4. In addition, please attach the financial information described above in items 1, 2 and 3 of any expected Guarantor of the proposed bond issue if different than the Company.**

**I. FEE REQUIREMENTS**

**The Agency requires the following fees to be paid by the Applicant:**

- 1. Application Fee of \$500 to be submitted with the completed application.**
- 2. Administrative Fee based upon the following Schedule A:**

<b>Schedule A</b>	
<b>County of Oswego IDA Administrative Fees</b>	
<b>Relative to Bonding and Straight Lease Transactions</b>	
<b>Where IDA Exemptions are Provided</b>	
<b>Project Financing:</b>	<b>.0075 (3/4 of 1%) based upon the amount of project cost and not limited to the amount of bonds issued (if any).</b>
<b>Refunding of Bonds:</b>	<b>.0025 (1/4 of 1%) based upon the amount of bonds issued to retire prior bond issue.</b>
<b>Refinancing:</b>	<b>.00125 (1/8 of 1%) based upon amount refinanced.</b>
<b>All Legal Fees associated with any of the transactions (including bond counsel and IDA counsel) are the responsibility of the Applicant.</b>	
<b>Adopted 4/23/14</b>	

- 3. Annual Administrative Reporting Fee of \$500 to cover administrative reporting requirements to comply with New York State reporting regulations on IDA assisted projects involving Bond Financing and/or Straight Lease Transactions.**

## **J. CERTIFICATION**

**The Applicant must submit a completed certification (Corporate or Individual) executed and notarized along with the Application. Certifications are attached as Appendix C (Corporate) and Appendix D (Individual).**

## **K. SUBMISSION OF APPLICATION**

**Please submit the completed Application along with the required \$500 Application Fee to:**

**County of Oswego Industrial Development Agency**

**44 West Bridge Street**

**Oswego, NY 13126**

**(315) 343-1545**

**ATTN: L. Michael Treadwell**

**Chief Executive Officer**

## **ADDITIONAL PAGE WITH CORRESPONDING SECTIONS**

### **D. PROJECT COSTS/REQUESTED BENEFITS**

**2. Contracts of sale & purchase orders project costs: \$95,000.00**

**Interconnection Upgrades: \$1,303,376.05**

### **E. PROJECT CONSTRUCTION SCHEDULE**

**3. At what time or times and in what amount or amounts is it estimated that funds will be required?**

**Estimated Date (month/year)**

**08/23/2027**

**Estimated Amount**

**\$3,056,015.00**

APPENDIX A  
PROJECTED EMPLOYMENT PLAN

\_\_\_\_\_  
Company/Applicant Name

Please complete the following chart describing your projected employment plan following receipt of IDA assistance. Indicate the number of full time equivalent ("FTE") jobs presently at the Company and the number of FTE jobs that will be employed at the project at the end of the first five years after the project has been completed, by category, including full time equivalent independent contractors or employees of independent contractors that work at the project location. Do not include construction workers. Indicate the salary and fringe benefit averages or ranges for each category of jobs.

Permanent Occupations in Company	Current Jobs by Occupation (jobs being retained)														Projection of New Jobs to be Created Annually	
	Estimated Average Salary/Benefits or Salary/Benefits Range for each category	No. of Employees		1 <sup>st</sup> year net of current retained employees		2 <sup>nd</sup> year net of prior years		3 <sup>rd</sup> year net of prior years		4 <sup>th</sup> year net of prior years		5 <sup>th</sup> year net of prior years		Total Net New Jobs for 5 Yr. Period		
		Full Time	Part Time	Full Time	Part Time	Full Time	Part Time	Full Time	Part Time	Full Time	Part Time	Full Time	Part Time	Full Time	Part Time	
<b>Professional</b>																
Est. Average Salary or Salary Range																
Est. Average Benefits or Benefit Range																
<b>Clerical</b>																
Est. Average Salary or Salary Range																
Est. Average Benefits or Benefits range																
<b>Sales</b>																
Est. Average Salary or Salary Range																
Est. Average Benefits or Benefits Range																
<b>Service</b>																
Est. Average Salary or Salary Range																
Est. Average Benefits or Benefits Range																
<b>Manufacturing:</b>																
<b>Skilled</b>																
Est. Average Salary or Salary Range																
Est. Average Benefits or Benefits Range																
<b>Semi-Skilled</b>																
Est. Average Salary or Salary Range																
Est. Average Benefits or Benefits Range																



Permanent Occupations in Company	Current Jobs by Occupation (jobs being retained)			APPENDIX A PROJECTED EMPLOYMENT PLAN (CONT.)												Projection of New Jobs to be Created Annually	
	Estimated Average Salary/Benefits or Salary/Benefits Range for each category	No. of Employees		1 <sup>st</sup> year net of current retained employees		2 <sup>nd</sup> year net of prior years		3 <sup>rd</sup> year net of prior years		4 <sup>th</sup> year net of prior years		5 <sup>th</sup> year net of prior years		Total Net New Jobs for 5 Yr. Period			
		Full Time	Part Time	Full Time	Part Time	Full Time	Part Time	Full Time	Part Time	Full Time	Part Time	Full Time	Part Time	Full Time	Part Time		
Unskilled																	
Est. Average Salary or Salary Range																	
Est. Average benefits or benefit range																	
Other (Describe)																	
Est. Average Salary or Salary Range																	
Es.t Average benefits or benefits range																	
	Total																

**LABOR UNION AGREEMENT:**

The employees of our firm are not\_\_\_\_\_ are \_\_\_\_\_ currently covered by a collective bargaining agreement with:

\_\_\_\_\_  
*(Name of International Union and Local Union Number)*

Union Contact Person: \_\_\_\_\_

Address/Phone: \_\_\_\_\_

Contract Expiration Date: \_\_\_\_\_

No. of employees covered: \_\_\_\_\_

**LABOR MARKET AREA:** Onondaga, Oswego, Oneida, Madison, Cayuga and Jefferson Counties.

Estimate the number of residents from the above Labor Market Area that currently fill the retained jobs identified in Appendix “A”:

\_\_\_\_\_

Estimate the number of residents from the above Labor Market Area that are expected to fill the net new jobs to be created identified in Appendix “A”:

\_\_\_\_\_

County of Oswego Industrial Development Agency  
Corporate Certification Signature Page


Corporate Seal

Derek Moretz

Name of Authorized Officer

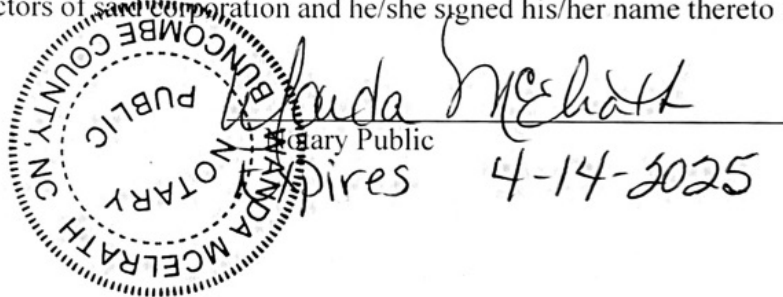
VP of Development

Title

  
Signature

STATE OF NC  
COUNTY OF Buncombe ) SS.:

On this 24<sup>th</sup> day of April, 2023, before me personally came Derek Moretz, to be personally known, who being by me duly sworn did depose and say that he/she resides in Asheville NC; that he/she is the VP of Development ASD Baldwin NY Solar the corporation described in and which executed the above instrument; that he/she knows the seal of said corporation; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the members of the Board of Directors of said corporation and he/she signed his/her name thereto by like order.



**APPENDIX D**  
**County of Oswego Industrial Development Agency**  
**Individual Certification**

Derek Moretz

deposes and says that he/she is

(Name)

submitting this application on behalf of ASD Baldwin NY Solar LLC

(Company)

(hereinafter referred to as the "Applicant"); that he/she has read the foregoing and knows the contents thereof; that the same is true, accurate and complete to the best of her/his knowledge, as subscribed and affirmed under the penalties of perjury. The grounds of deponent's beliefs relative to all matters in the said application which are not stated upon his own personal knowledge are investigations which the deponent has caused to be made concerning the subject matter of this application as well as, if applicable; information acquired by deponent in the course of her/his duties for the applicant and from the books, and papers of the applicant.

Deponent acknowledges and agrees that Applicant shall be an is responsible for all costs incurred by the County of Oswego Industrial Development Agency (hereinafter referred to as the "Agency") acting on behalf of the Applicant in connection with this application and all matters relating to the Agency's financing and assistance. If, for any reason whatsoever, the Applicant fails to conclude or consummate necessary negotiations or fails to act within a reasonable or specified period of time to take reasonable, proper, or requested action or withdraws, abandons, cancels, or neglects the application, or if the Applicant is unable to consummate the financing arrangements required to carry out the Project, then upon presentation of invoice, Applicant shall pay to the Agency, its agents or assigns, all actual costs incurred with respect to the application, up to that date and time, including fees of bond counsel for the Agency and fees of general counsel for the Agency. Upon successful conclusion of the closing for the financing of the project and the execution of a PILOT Agreement (if applicable), the Applicant shall pay to the Agency an administrative fee set by the Agency not to exceed an amount equal to Schedule A, provided in Section H of the application, which amount, at the option of the Agency, shall be payable at closing. The Applicant also shall pay an Annual Administrative Reporting Fee of \$500.00 to be billed annually by the Agency (if applicable). Fees of bond counsel and the general counsel of the Agency are the responsibility of the Applicant.

An application fee of \$500, payable to the County of Oswego Industrial Development Agency, is due upon submission of the application to the Agency.

ASD Baldwin NY Solar LLC

(Company)

(Signature)

STATE OF NC  
COUNTY OF Burcombe ) SS.:

On April 24, 2023, before me personally came Derek Warren Moretz to me known to be the individual described in, and who executed the foregoing instrument, and acknowledged that he executed the same.

Wanda McElrath  
Notary Public  
Expires 4-14-2025

Application For Financial Assistance – Appendix D Page J



**Appendix “E”**

**PILOT Schedule**

(To be filled in by Agency)

The undersigned, an authorized representative of the applicant with authority to bind the applicant, does hereby agree to the foregoing PILOT schedule and hereby incorporates same into this application and requests the Agency grant, as part of the Financial Assistance awarded to the Project, the foregoing exemptions from real property tax.

Dated: \_\_\_\_\_

  
\_\_\_\_\_  
(Applicant Authorized Signature)